Holographic MIMO Communications

Dr. Andrea Pizzo and Prof. Luca Sanguinetti March 26, 2021

GTTI workshop

"Wireless Intelligence: From Reconfigurable Surfaces to Edge/Cloud Communications"





Promising wireless applications

- Holographic MIMO, Large intelligent surfaces (LIS), Reconfigurable intelligent surfaces (RIS), Extremely large aperture arrays (ELAA)
- All aiming at increasing the spectral efficiency (bit/s/Hz)



Massive MIMO



The Fraunhofer far-field assumption

- The shift towards these applications poses new challenges
- The far-field assumption $R > L^2/\lambda$ may break down in some of these applications
- Three examples at 3GHz:

| Massive MIMO | HMIMO/LIS | ELAA |
|----------------------------|-----------|------------|
| 8x8 array, half-wavelength | | |
| $L = 4 \lambda$ | L = 3 m | L = 100 m |
| $R > 16 \lambda = 1.6 m$ | R > 90 m | R > 100 km |
| YES | NO | NO |



A closer look at wave propagation

- New applications push us towards the Fresnel near-field region
- Wavefronts are not locally planar
- Communication theorists typically rely on classical MIMO far-field channel models





Models for Wave Propagation

- Numerical electromagnetic solvers not useful for communication theorists
- i.i.d. Rayleigh fading overestimates the available DoF (proportional to the antennas)
- Stochastic random field models typically have a physically meaningless correlation
- No physically-tenable channel model to work with

The only class of meaningful channel model is the Fourier plane-wave model!



Linear-system theoretic formulation

- We model wave propagation as a linear and space-variant system
- Stationary channels are <u>low-pass bandlimited</u> in the spatial-frequency domain





Università di Pisa

Fourier plane-wave series expansion

- The channel response can be decomposed in terms of plane-waves
- Valid in the Fresnel near-field region and for arbitrary scattering
- Orthonormal description of the channel with statistically-independent Gaussian coefficients

$$h(\mathbf{r}, \mathbf{s}) \approx \sum_{(\ell_{\mathbf{x}}, \ell_{\mathbf{y}}) \in \mathcal{E}_{\mathbf{r}}} \sum_{(\mathbf{m}_{\mathbf{x}}, \mathbf{m}_{\mathbf{y}}) \in \mathcal{E}_{\mathbf{s}}} \mathbf{a}_{\mathbf{r}}(\ell_{\mathbf{x}}, \ell_{\mathbf{y}}, \mathbf{r}) \mathbf{H}_{\mathbf{a}}(\ell_{\mathbf{x}}, \ell_{\mathbf{y}}, \mathbf{m}_{\mathbf{x}}, \mathbf{m}_{\mathbf{y}}) \mathbf{a}_{\mathbf{s}}(\mathbf{m}_{\mathbf{x}}, \mathbf{m}_{\mathbf{y}}, \mathbf{s})$$





Variance of the Fourier coefficients



Isotropic propagation (360° angle spread)

Non-isotropic propagation (5° angle spread)







Università di Pisa

Channel eigenvalues

- Planar squared array of side length $L/\lambda = 10$
- $\lambda/4$ -spaced antenna elements





Practical implications

- Closed-form, mathematically-tractable SVD of the channel response
- Valid in both the near-field and far-field and with arbitrary scattering
- Available DoF do not scale with the number of antennas
 - 3D (volume) arrays offer no extra DoF over two planar arrays
- Angular domain unitarily equivalent to the spatial domain but less redundant
- FFT-complexity data processing algorithms and channel estimation



Wish to know more?

- T. L. Marzetta, "Spatially-Stationary Propagating Random Field Model for Massive MIMO Small-Scale Fading," in 2018 IEEE ISIT, pp. 391–395.
- <u>A. Pizzo</u>, T. L. Marzetta, and L. Sanguinetti, "Spatially-Stationary Model for Holographic MIMO Small-Scale Fading," IEEE JSAC, 2020.
- 3. <u>A. Pizzo</u>, T. L. Marzetta, and L. Sanguinetti, "Degrees of Freedom of Holographic MIMO Channels," in 2020 IEEE SPAWC.
- 4. <u>A. Pizzo</u>, T. L. Marzetta, and L. Sanguinetti, "Holographic MIMO Communications Under Spatially-Stationary Scattering," in 2020 IEEE Asilomar (available on arXiv.org)
- <u>A. Pizzo</u>, L. Sanguinetti, and T. L. Marzetta, "Spatial Characterization of Electromagnetic Random Channels," 2021. [Online]. Available: <u>https://arxiv.org/abs/2103.15666</u>.

